

TRANSMITTAL FORM

Application Serial Number	09/884,517
Filing Date	June 19, 2001
First Named Inventor	Fitzgerald
Group Art Unit	2822
Examiner Name	Khanh B. Duong
Attorney Docket No.	ASC-043
Patent No.	Not applicable
Issue Date	Not applicable

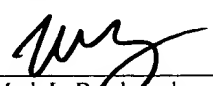
ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal	<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of SIDS Citations (A127-A131, B44-B45 and C86)	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
	<input type="checkbox"/> CD(s) for large table or computer program	
	<input type="checkbox"/> Amendment After Allowance	
	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Mark L. Beloborodov
Attorney for Applicants
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Date: March 4, 2004
Reg. No. 50,773
Tel. No.: (617) 248-7453
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image

\$ 2822


PATENT
Attorney Docket No. ASC-043

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Fitzgerald *et al.*
SERIAL NO.: 09/884,517 GROUP NO.: 2822
FILING DATE: June 19, 2001 EXAMINER: Khanh B. Duong
TITLE: CMOS INVERTER AND INTEGRATED CIRCUITS UTILIZING
STRAINED SILICON SURFACE CHANNEL MOSFETS

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 4th day of March, 2004.


Emily Walsh

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Fee Transmittal Form (1 pg.);
3. Check in the amount of \$180.00;
4. Supplemental Information Disclosure Statement (2 pgs.);
5. Form PTO-1449 (1 pg.);
6. Copies of Cited References A127-A131, B44-B45 and C86; and
7. Return Receipt Postcard.

3028880



FEE TRANSMITTAL FY 2004

Complete if Known

Application Serial Number	09/884,517
Filing Date	June 19, 2001
First Named Inventor	Fitzgerald
Group Art Unit	2822
Examiner Name	Khanh B. Duong
Attorney Docket No.	ASC-043

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
770	Utility filing fee	
340	Design filing fee	
160	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
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Total Claims - 20 = x \$ 18.00 =

Independent Claims - 3 = x \$ 86.00 =

☐ Multiple Dependent Claim(s), if any \$290.00 =

TOTAL:
SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 0.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 86.00 =

☐ First Presentation of Multiple Dep. Claim + \$290.00 =

TOTAL: (\$)
SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
420	210	Extension for reply within second month	
950	475	Extension for reply within third month	
1480	740	Extension for reply within fourth month	
2010	1005	Extension for reply within fifth month	
330	165	Notice of Appeal	
330	165	Filing a brief in support of an appeal	
290	145	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	180.00
770	385	Filing a submission after final rejection (37 CFR 1.129(a))	
770	385	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
110	55	Submission of Terminal Disclaimer	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) (\$) 180.00

SUBTOTAL (1) 0.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 180.00

TOTAL (\$) 180.00

CORRESPONDENCE ADDRESS

Direct all correspondence to:

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Respectfully submitted,

Date: March 4, 2004
Reg. No.: 50,773
Tel. No.: (617) 248-7453
Fax No.: (617) 248-7100

Mark L. Beloborodov
Attorney for the Applicants
Testa, Hurwitz & Thibault, LLP
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Boston, MA 02110



PATENT
Attorney Docket No. ASC-043

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Fitzgerald *et al.*
SERIAL NO.: 09/884,517 GROUP NO.: 2822
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TITLE: CMOS INVERTER AND INTEGRATED CIRCUITS UTILIZING
STRAINED SILICON SURFACE CHANNEL MOSFETS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and the search report are enclosed.

It is respectfully requested that each of the patents listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

In addition, Applicants wish to inform the Examiner about the following co-pending patent application, including the office actions issued therein:

- U.S. Serial No. 10/611,739, filed on July 1, 2003 by Fitzgerald *et al.*

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed after the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. 1.114 but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein. Applicants believe no additional fees are due for this paper to be entered and considered, but the

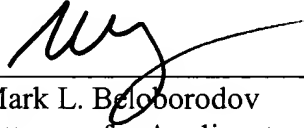
Commissioner is hereby authorized to charge Deposit Account No. 20-0531 for any required fees that may be due.

Date: March 4, 2004
Reg. No. 50,773

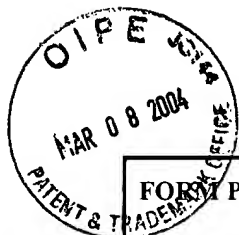
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3030450

Respectfully submitted,



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Attorney for Applicants
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125 High Street
Boston, MA 02110



FORM PTO - 1449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: ASC-043 APPLICANT(S): Fitzgerald <i>et al.</i> SERIAL NO.: 09/884,517 FILING DATE: June 19, 2001 GROUP: 2822					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	A127	2002/0096717	7/25/2002	Chu <i>et al.</i>			1/25/2001		
	A128	4,994,866	2/1/1991	Awano			1/5/1989		
	A129	6,420,937	7/16/2002	Akatsuka <i>et al.</i>			6/14/2001		
	A130	6,593,191	7/15/2003	Fitzgerald			5/16/2001		
	A131	6,682,965	1/27/2004	Noguchi <i>et al.</i>			3/26/1998		
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B44	6-244112	9/2/1994	JP				N	Abstract
	B45	2001319935	5/11/2000	JP				N	Abstract
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C86	International Search Report for International Application PCT/US01/46322 mailed January 22, 2003.							
EXAMINER					DATE CONSIDERED				

3028884